



EPW 2837

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application No.	09/990,801		
Filing Date	November 21, 2001		
First Named Inventor	John Brinkman		
Art Unit	2837		
Examiner Name	Donels, Jeffrey		
Total Number of Pages in This Submission	6	Attorney Docket Number	3992P003

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div>Cited foreign reference</div>
Remarks		

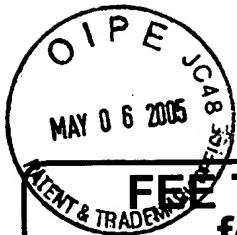
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Eric T. King, Reg. No. 44,188 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	May 2, 2005

CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or printed name	Nicole Erquiaga		
Signature		Date	May 2, 2005



FEE TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

Complete if Known

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Attorney Docket No.	3992P003

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ None ☐ Other (please identify):

☒ Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
☒ Charge any additional fee(s) or underpayment of fee(s) ☐ Credit any overpayments
under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

FEE CALCULATION

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053	130	Non-English specification	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	

Other fee (specify)

SUBTOTAL (2) (\$)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type) Eric T. King

Registration No.
(Attorney/Agent)

44,188

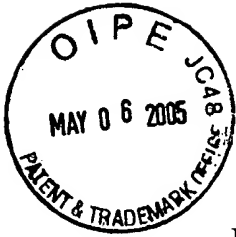
Telephone

(714) 557-3800

Signature

Date

05/02/05



Docket No.:003992.P003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

John Brinkman

Application No.: 09/990,801

Filed: November 21, 2001

For: Interface Device to Couple A Musical Instrument to a Computing Device to Allow a User to Play a Musical Instrument in Conjunction with a Multimedia Presentation

Examiner: Jeffrey Donels

Art Group: 2837

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR RULE 1.97

AND

CROSS REFERENCED TO RELATED APPLICATIONS UNDER 37 CFR § 1.78 (a) (2)

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Applicants, in accordance with their duty of disclosure under 37, CFR §1.56 and in accordance with 37 CFR §1.97 and 37 CFR §1.78 (a) (2), hereby disclose and cross-reference to the following related patent applications all of which are assigned or under an obligation to assign to the same assignee as the above referenced patent application.

U.S. Patent Application entitled System and Method for Delivering a Multimedia Presentation to a User and to Allow the User to Play a Musical Instrument in Conjunction with the Multimedia Presentation by Brinkman et al., U.S. Application No. 09/990,532, filed November 21, 2001, attorney docket reference no. 003992.P002.

U.S. Patent Application entitled Computing Device to Allow for the Selection and Display of a Multimedia Presentation of an Audio File and to Allow a User to Play a Musical

Instrument in Conjunction with the Multimedia Presentation by Brinkman et al., U.S.

Application No. 10/791,240, filed March 1, 2004, attorney docket no. 003992.P006C.

Pursuant to 37 CFR §1.97, this submission is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: May 2, 2005

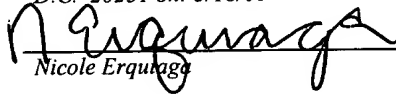


ERIC T. KING
Reg. No. 44,188

CERTIFICATE OF MAILING

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Nicole Erquaga

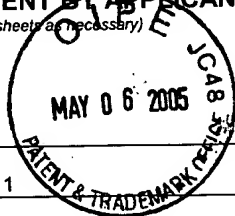
5/2/2005

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Complete if Known

Application Number	09/990,801
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First Named Inventor	John Brinkman
Group Art Unit	2837
Examiner Name	Jeffrey Donels

Sheet 1 of 1

Attorney Docket No: 3992P003

US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	US-20010036620	11/01/2001	Peer, Scott C., et al.	
	US-20030094091	05/22/2003	Brinkman, John, et al.	
	US-20030140770	07/31/2003	Barry, James A.	
	US-6,570,080	05/27/2003	Hasegawa, Yutaka, et al.	
	US-6,660,922	12/09/2003	Roeder, Steve	
	US-6,740,803	05/25/2004	Brinkman, John, et al.	
	US-6,751,439	06/15/2004	Tice, Deanna L., et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
	WO-WO0120594	03/22/2001	Kim, Yuen-Jung, et al.		

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached